



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

| | TAL INFORMATION STATEMENT | Docket Number: 12604/23 | | |
|--|------------------------------|--|----------------|--|
| Application Serial No.: 10/577,588 | Filing Date: May 15, 2006 | Examiner: To Be Assigned | Art Unit: 3618 | |
| International Application No.: PCT/EP2004/12800 | | International Filing Date: November 11, 2004 | | |
| Title: COMPACT DRIVE, SPIROID GEAR UNIT, AND METHOD FOR MANUFACTURING A DRIVE UNIT | | Inventor(s): Josef SCHMIDT et al. | | |

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

VA 22313-1430 011

Signature:

- 1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant(s) hereby bring the references listed on the attached modified PTO form 1449 to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
- 2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated.

Date: (es. 7, 2007

Respectfully submitted,

 $\frac{\bullet}{\text{Cliff}}$

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SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANTS PTO-1449

| Attorney Docket No. 12604/23 | Serial No. 10/577,588 |
|------------------------------------|--------------------------|
| Applicant(s) Josef SCHMIDT et. al. | |
| Filing Date May 15, 2006 | Group Art Unit 3618 |

U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | PATENT/ PUBLICATION NUMBER | PATENT/PUBLICATION DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|------------------------|----------------------------------|----------------------------|----------------|-------|----------|----------------|
| | 5,501,117 | March 26, 1996 | Mensing et al. | | | |
| | 6,169,345 | January 2, 2001 | Bloch et al. | | | |

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| EXAMINER'S INITIALS | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|------------------------|--------------------|--------------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | 197 14 784*·** | October 22 1998 | DE | | | | |
| | 2002 336305*.** | November 26, 2002 | JP | | | | |
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OTHER DOCUMENTS

| EXAMINER'S INITIALS | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
|------------------------|---|
| | International Preliminary Report on Patentability from International Application No. PCT/EP2004/12800, dated November 11, 2004 |
| | Written Opinion of the International Searching Authority, PCT International Patent Application No. PCT/EP2004/012800, dated November 11, 2004 |
| | |

| EXAMINER | DATE CONSIDERED | | | |
|--|-----------------|--|--|--|
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant | | | | |

^{*} Mentioned in Specification.
** English-language abstract provided.